

# Superconnections and a Finslerian Gauss-Bonnet-Chern formula

Huitao Feng (Joint with Ming Li)

Chern Institute of Mathematics, Tianjin, China

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# Outline

In this talk, we will establish a Finslerian Gauss-Bonnet-Chern formula for an even dimensional closed and oriented Finsler manifold  $(M, F)$ .

## 1 Motivation and Main Result

- A brief review of Finsler geometry
- A brief review of the GBC Formula in Riem. geom.
- Generalizations in the Finsler setting before
- Main result: A Finslerian GBC formula

## 2 A Mathai-Quillen-type Formula

## 3 Sketch Proof of Main Theorem

## 4 A Special Case: Berwald Space

# A brief review of Finsler geometry

- $M$ :  $C^\infty$  manifold of dim.  $2n$ ;  $\pi : TM \rightarrow M$  the tangent bundle of  $M$ .
- Given a local coordinate chart  $(U; (x^i))$  of  $M$ , there is a corresponding local coordinate chart  $(\pi^{-1}(U), (x^i, y^j))$  of  $TM$ .
- $(M, F)$  is called a Finsler manifold if  $F$  is a  $C^\infty$  function  $F : TM_0 = TM \setminus 0 \rightarrow \mathbb{R}^+$  with the properties:
  - (i)  $F(x, \lambda y) = \lambda F(x, y)$ ,  $\forall \lambda \in \mathbb{R}^+$ ;
  - (ii)  $(g_{ij}(x, y)) = \left( \frac{1}{2} [F^2]_{y^i y^j} \right) > 0$  on  $TM_0$ .
- So  $g_{ij}(x, y)$  are homogenous functions of degree zero on  $y$ , and so all geometric quantities coming from  $g_{ij}$  actually live on the unit sphere bundle  $SM$  of  $(M, F)$ .

- Using  $(g_{ij}(x, y))$  one can introduce a natural Euclidean structure on the pull-back bundle  $\pi^* TM \rightarrow TM_0$ .
- The Euclidean vector bundle  $(\pi^* TM, g)$  is essential in the study of Finsler geometry.
- There are two connections often used in Finsler geo.: one is the Chern conn.  $\nabla^{\text{Ch}}$ , and the other is the Cartan conn.  $\nabla^{\text{Car}}$ .
- On the other hand, the Finsler metric  $F$  determines canonically a horizontal subbundle  $H(TM_0)$  of  $T(TM_0)$  and the identification:

$$H(TM_0) \cong \pi^* TM.$$

- let  $V(TM_0)$  denote the natural vertical subbundle of  $T(TM_0)$ .
- There is a canonical isomorphism  $J : H(TM_0) \cong V(TM_0)$ , by which and the iso.  $H(TM_0) \cong \pi^* TM$ , the space  $TM_0$  admits a well-defined Riemannian metric  $g^{T(TM_0)}$ .
- As a natural foliated manifold  $(TM_0, V(TM_0))$ , it is well-known that there is a Bott connection  $\tilde{\nabla}^{H(TM_0)}$  on  $H(TM_0)$ , which is flat along the leaves in  $TM_0$ , that is

$$\left(\tilde{\nabla}^{H(TM_0)}\right)^2(U, V) = 0$$

for any  $U, V \in V(TM_0)$ .

## Proposition 1 (F-Li, CAG, 2013)

Under the identification  $H(TM_0) \cong \pi^* TM$ .

- the Bott connection  $\tilde{\nabla}^{H(TM_0)}$  on  $H(TM_0)$  is exactly the Chern connection  $\nabla^{\text{Ch}}$  on  $\pi^* TM$ ;
- the symmetrization  $\widehat{\nabla}^{H(TM_0)}$  of the Bott connection turns out to be the Cartan connection  $\nabla^{\text{Car}}$ .

## Remark 1

Let  $R^{\text{Ch}} = (\nabla^{\text{Ch}})^2$  be the curvature of Chern connection. By the flatness along leaves,  $R^{\text{Ch}}$  splits only into two parts

$$R^{\text{Ch}} = R + P,$$

where  $R$  is an  $\text{End}(\pi^* TM)$ -valued horizontal two-form on  $TM_0$ , and  $P$  is an  $\text{End}(\pi^* TM)$ -valued horizontal-vertical two-form on  $TM_0$ .

# A biref review of the GBC Formula in Riem. geom.

- In 1943, S.S. Chern gave a simple and intrinsic proof of the following Gauss-Bonnet-Chern formula for closed Riemannian manifold  $(M, g^{TM})$  of even dimension:

## Proposition 2 (S.S. Chern)

$$\chi(M) = \left(\frac{-1}{2\pi}\right)^n \int_M \text{Pf}(R^{TM}),$$

where  $R^{TM}$  is the curvature of the Levi-Civita connection  $\nabla^{TM}$  associated to the Riemannian metric  $g^{TM}$  on  $M$ , and the Pfaffian  $\text{Pf}(R^{TM})$  is a well-defined  $2n$ -form on  $M$  constructed by the curvature  $R^{TM}$  of  $\nabla^{TM}$ .

- Chern's remarkable transgression formula on  $SM$

$$\left(\frac{-1}{2\pi}\right)^n \pi^* \text{Pf}(R^{TM}) = -d^{SM}\Pi.$$

- Let  $X \in \Gamma(TM)$  with the isolated zero point set  $Z(X)$ . Then on  $M_\epsilon = M \setminus Z_\epsilon(X)$ ,

$$\left(\frac{-1}{2\pi}\right)^n \text{Pf}(R^{TM}) = -[X]^* d^{SM}\Pi = -d^M[X]^*\Pi.$$

- By using the Poincaré-Hopf theorem, he obtained

$$\left(\frac{-1}{2\pi}\right)^n \int_M \text{Pf}(R^{TM}) = \lim_{\epsilon \rightarrow 0} \int_{\partial Z_\epsilon(X)} [X]^*\Pi = \chi(M).$$



# Generalizations in the Finsler setting before

- Many people have made important contributions to the generalizations of the Chern's formula in the Finsler setting:

Lichnerowicz, Bao-Chern, Z. Shen, Lackey, . . . .

# Lichnerowicz's Work(1949)

- Lichnerowicz constructed an analogue differential  $2n$ -form  $\text{Pf}(R^{\text{Car}})$  on  $SM$  and proved a transgression formula

$$\text{Pf}(\Omega^{\text{Car}}) = -d^{SM}\Pi^{\text{Car}},$$

for some  $2n - 1$ -form  $\Pi^{\text{Car}}$  on  $SM$ , where  $R^{\text{Car}}$  denotes the curvature of the Cartan connection on  $\pi^*TM$ .

- Following Chern's strategy, Lichnerowicz also made the computations

$$\int_M [X]^* \text{Pf}(\Omega^{\text{Car}}) := \lim_{\epsilon \rightarrow 0} \int_{M_\epsilon} [X]^* \text{Pf}(\Omega^{\text{Car}}) = \lim_{\epsilon \rightarrow 0} \int_{\partial Z_\epsilon(X)} [X]^* \Pi^{\text{Car}}.$$

To get the desired Euler number  $\chi(M)$  from the above computations, Lichnerowicz needed the following assumptions:

- The space  $(M, F)$  should be a Cartan-Berwald space.
- All Finsler unit spheres  $S_x M = \{Y \in T_x M \mid F(Y) = 1\}$  should have the same volume as a Euclidean unit sphere

Note that the later assumption holds automatically for all Cartan-Berwald spaces of dimension larger than 2.

## Bao and Chern's Work(Ann. of Math. 1996)

Around fifty years later, Bao and Chern dropped the Cartan-Berwald condition of Lichnerowicz by using the Chern connection  $\nabla^{\text{Ch}}$ .

- They proved a transgression formula

$$\text{Pf}(\Omega^{\text{Ch}}) + \mathcal{F} = -d^{SM}\Pi^{\text{Ch}},$$

where  $\text{Pf}(R^{\text{Ch}})$  is some  $2n$ -form on  $SM$  constructed from the curvature  $R^{\text{Ch}}$  of the Chern connection  $\nabla^{\text{Ch}}$ ,  $\mathcal{F}$  is a correction term and  $\Pi^{\text{Ch}}$  is the associated transgression form.

- Then they established the following GBC-formula for all  $2n$ -dimensional oriented and closed Finsler manifolds with the constant volume of Finsler unit spheres:

$$\int_M [X]^* [\text{Pf}(\Omega^{\text{Ch}}) + \mathcal{F}] = \chi(M) \frac{\text{Vol}(\text{Finsler } \mathcal{S}^{n-1})}{\text{Vol}(\mathcal{S}^{n-1})}$$

# Shen and Lackey's works

- The constant volume condition in Bao-Chern's formula is a much strong assumption for a Finsler manifold.
- To avoid this defect, following Bao-Chern's approach, Z. Shen and Lackey independently modified the GBC-integrand terms by using the unit sphere volume function  $V(x) = \text{Vol}(S_x M)$  and obtained some new types of GBC-formulae respectively via the Cartan and the Chern connections for all oriented and closed Finsler manifolds.
- Roughly, their formulae are of the form:

$$\chi(M) = \text{Vol}(S^{n-1}) \int_M \frac{[X]^* [\text{Pf}(\Omega^{\text{Ch}}) + \tilde{\mathcal{F}}]}{\text{Finsler}(S^{n-1})}$$

## Remark

- A striking difference from the Chern's formula for Riemannian manifolds, all generalizations of the GBC formula in the Finsler setting before had to make use of an extra vector field  $X$  on  $M$  to obtain the related GBC-integrands.
- In a recent paper of Prof. Y. Shen, he asked explicitly whether there is a GBC-type formula for general Finsler manifolds without using any vector fields.

In the following, we try to answer Prof. Shen's question by using a Mathai-Quillen-type formula obtained by Weiping Zhang and myself recently.

# A Finslerian GBC formula

## Main theorem (F-Li)

Let  $(M, F)$  be a closed and oriented Finsler manifold of dimension  $2n$ . Then for any connection  $\nabla$  on  $\pi : TM \rightarrow M$ , one has

$$\chi(M) = \frac{1}{(2\pi)^{2n}(2n)!} \left\{ \sum_{k=1}^n C_{2n}^{2k} \int_{SM} \text{tr}_s \left[ c(\mathbf{e}) c(\nabla^{\text{Ch}} \mathbf{e})^{2k-1} (R^{\natural})^k (P^{\natural})^{2n-2k} \right] + \int_{SM} \text{tr}_s \left[ \theta^{\natural} (P^{\natural})^{2n-1} \right] \right\},$$

where  $\mathbf{e} = \hat{Y}|_{SM}$  and  $R^{\natural}, P^{\natural}, \theta^{\natural}$  are the natural lifting of  $R, P, \theta$  respectively on  $\Lambda^*(\pi^* T^* M)$ , and  $\theta$  is defined by  $\theta =: \nabla^{\text{Ch}} - \pi^* \nabla$ . In particular, the formula is independent of the choice of the connection  $\nabla$  on  $TM$ .

# A Mathai-Quillen-type Formula

- In a recent work of Weiping Zhang and myself, we obtained a Mathai-Quillen-type formula on the Euler number  $\chi(M)$ .
- More precisely, we applied the Mathai-Quillen's geometric construction of the Thom class to the pull-back exterior algebra bundle  $\pi^*\Lambda^*(T^*M) \rightarrow TM$  and obtained an integrable top-form on  $TM$  from any connection  $\nabla$  on  $TM$ , and proved that the integration of this top form over  $TM$  is the Euler characteristic  $\chi(M)$ .
- Here the key point is that the connections used needn't metric-preserving!



In the following, we will recall briefly this Mathai-Quillen-type formula.

- Let  $\nabla^{TM}$  be any connection on  $TM$ . Then it induces a connection  $\nabla^{\Lambda^*(T^*M)}$  on the exterior algebra bundle  $\Lambda^*(T^*M)$ , which preserves the even/odd  $\mathbf{Z}_2$ -grading in  $\Lambda^*(T^*M)$ .
- Let  $\hat{Y}$  denote the tautological section of the pull-back bundle  $\pi^*TM$ :

$$\hat{Y}(x, Y) := Y \in (\pi^*TM)|_{(x, Y)},$$

where  $(x, Y) \in TM$  with  $x \in M$  and  $Y \in T_xM$ .

- For any given Euclidean metric  $g^{TM}$  on  $TM$ , let  $\hat{Y}^*$  denote the dual of  $\hat{Y}$  with respect to the pull-back metric  $\pi^*g^{TM}$  on  $\pi^*TM$ .

- Then the Clifford action  $c(\hat{Y}) = \hat{Y}^* \wedge -i_{\hat{Y}}$  acts on  $\pi^* \Lambda^*(T^*M)$  and exchanges the even/odd grading in  $\pi^* \Lambda^*(T^*M)$ . Moreover,

$$c(\hat{Y})^2 = -|\hat{Y}|_{\pi^*g^{TM}}^2 = -|Y|_{g^{TM}}^2.$$

- For any  $T > 0$ , define the superconnection

$$A_T = \pi^* \nabla^{\Lambda^*(T^*M)} + Tc(\hat{Y})$$

on the bundle  $\pi^* \Lambda^*(T^*M)$ .

Then we have the following Mathai-Quillen-type formula:

### Theorem 1 (F-Zhang, 2016)

$$\chi(M) = \left(\frac{1}{2\pi}\right)^{2n} \int_{TM} \text{tr}_s[\exp(A_T^2)].$$

# A slight generalization of Theorem 1

One can choose any connection  $\nabla$  with the curvature  $R = \nabla^2$  bounded along fibres of  $TM$  and any Euclidean metric  $g$  on the pull-back bundle  $\pi^* TM$  to define a superconnection on  $\pi^* \Lambda^*(T^*M) \equiv \Lambda^*(\pi^* T^*M)$ .

- Let  $\nabla^{\Lambda^*(\pi^* T^*M)}$  be the lifting of the connection  $\nabla$  on  $\Lambda^*(\pi^* T^*M)$ ;
- Let  $\hat{Y}_g^*$  denote the dual of  $\hat{Y}$  with respect to the metric  $g$  and set  $c_g(\hat{Y}) = \hat{Y}_g^* \wedge -i_{\hat{Y}}$ ;
- For any  $T > 0$ ,

$$\tilde{A}_T = \nabla^{\Lambda^*(\pi^* T^*M)} + T c_g(\hat{Y}) \quad (1)$$

is also a superconnection on  $\pi^* \Lambda^*(T^*M)$ .

## Corollary 1

Let  $M$  be a closed and oriented manifold of dimension  $2n$ . Then for any connection  $\nabla$  and any Euclidean metric  $g$  on  $\pi^* TM$ , if the curvature  $R = \nabla^2$  is bounded along fibres of  $TM$ , then the following formula holds for any  $T > 0$ :

$$\chi(M) = \left(\frac{1}{2\pi}\right)^{2n} \int_{TM} \text{tr}_s[\exp(\tilde{A}_T^2)],$$

where  $\tilde{A}_T$  is defined by (1).

# Sketch Proof of Corollary 1

- Set for any  $T > 0$  and  $t \in [0, 1]$ ,

$$\omega_T = \tilde{A}_T - A_T = \nabla^{\wedge^*(\pi^*T^*M)} - \pi^*\nabla^{\wedge^*(T^*M)} + T(\hat{Y}_g^* - \hat{Y}^*)\wedge,$$

$$A_{T,t} = t\tilde{A}_T + (1-t)A_T = \pi^*\nabla^{\wedge^*(T^*M)} + t\omega_T + Tc(\hat{Y}).$$

- Since the curvature  $R = \nabla^2$  is bounded along fibres of  $TM$ , one verifies that  $\text{tr}_s \left[ \omega_T \exp(A_{T,t}^2) \right]$  is exponentially decay along fibres of  $\pi : TM \rightarrow M$ , and so  $\int_{TM/M} \int_0^1 \text{tr}_s \left[ \omega_T \exp(A_{T,t}^2) \right] dt$  is a well-defined differential form on  $M$ .

- Therefore,

$$\begin{aligned}
 & \int_{TM} \text{tr}_S \left[ \exp(\tilde{A}_T^2) \right] - \int_{TM} \text{tr}_S \left[ \exp(A_T^2) \right] \\
 &= \int_{TM} d^{TM} \int_0^1 \text{tr}_S \left[ \omega_T \exp(A_{T,t}^2) \right] dt \\
 &= \int_M \int_{TM/M} d^{TM} \int_0^1 \text{tr}_S \left[ \omega_T \exp(A_{T,t}^2) \right] dt \\
 &= \int_M d^M \int_{TM/M} \int_0^1 \text{tr}_S \left[ \omega_T \exp(A_{T,t}^2) \right] dt \\
 &= 0.
 \end{aligned}$$

# Sketch Proof of Main Theorem

- In the following we will use Corollary 1 to establish a Finslerian Gauss-Bonnet-Chern formula, in which no extra vector field is involved.
- In particular, an explicit GBC-type integrand on  $M$  will be given in the induced homogeneous coordinate charts on  $SM$ .

## Main theorem (F-Li)

Let  $(M, F)$  be a closed and oriented Finsler manifold of dimension  $2n$ . Then for any connection  $\nabla$  on  $\pi : TM \rightarrow M$ , one has

$$\chi(M) = \frac{1}{(2\pi)^{2n}(2n)!} \left\{ \sum_{k=1}^n C_{2n}^{2k} \int_{SM} \text{tr}_s \left[ c(\mathbf{e}) c(\nabla^{\text{Ch}} \mathbf{e})^{2k-1} (R^{\natural})^k (P^{\natural})^{2n-2k} \right] + \int_{SM} \text{tr}_s \left[ \theta^{\natural} (P^{\natural})^{2n-1} \right] \right\},$$

where  $\mathbf{e} = \hat{Y}|_{SM}$  and  $R^{\natural}, P^{\natural}, \theta^{\natural}$  are the natural lifting of  $R, P, \theta$  respectively on  $\Lambda^*(\pi^* T^* M)$ , and  $\theta$  is defined by  $\theta =: \nabla^{\text{Ch}} - \pi^* \nabla$ . In particular, the formula is independent of the choice of the connection  $\nabla$  on  $TM$ .



# Sketch Proof of Main Theorem

## Step 1. Extended Chern connections

- Let  $D_r M = \{(x, Y) \in TM \mid F(x, Y) < r\}$  be the  $r$ -disc bundle for  $\forall r > 0$ .
- Let  $\rho \in C^\infty(TM)$  such that  $0 \leq \rho \leq 1$ , and

$$\rho = \begin{cases} 0, & \text{on } TM \setminus D_{\frac{1}{2}} M; \\ 1, & \text{on } D_{\frac{1}{4}} M. \end{cases}$$

- The extended Chern connection  $\tilde{\nabla}^{\text{Ch}}$  is defined on  $\pi^* TM \rightarrow TM$ ,

$$\tilde{\nabla}_\rho^{\text{Ch}} = (1 - \rho)\nabla^{\text{Ch}} + \rho\pi^*\nabla.$$

- We similarly get a smooth metric  $g$  on  $\pi^* TM \rightarrow TM$  from the fundamental tensor  $g_F$  on  $TM_0$ .

## Step 2.

- For any  $T > 0$ , we define the following superconnection

$$\tilde{A}_{\rho, T} = \tilde{\nabla}_{\rho}^{\text{Ch}, \mathfrak{h}} + T c_{\tilde{g}_F}(\hat{Y}).$$

- Note that  $\lim_{T \rightarrow +\infty} \exp(-T^2 \|Y\|^2) = 0$  for  $F(Y) \geq 1$ , we have

$$\begin{aligned} \chi(M) &= \left(\frac{1}{2\pi}\right)^{2n} \int_{TM} \text{tr}_s \left[ \exp(\tilde{A}_{\rho, T}^2) \right] \\ &= \lim_{T \rightarrow +\infty} \left(\frac{1}{2\pi}\right)^{2n} \int_{D_1 M} \text{tr}_s \left[ \exp(\tilde{A}_{\rho, T}^2) \right]. \end{aligned}$$

### Step 3.

Note that  $\text{tr}_S [\exp(\pi^* \nabla^{\Lambda^*}(T^*M)^2)] = 0$ , we have

$$\begin{aligned} \int_{D_1M} \text{tr}_S [\exp(\tilde{A}_{\rho,T}^2)] &= \int_{D_1M} \text{tr}_S [\exp(\tilde{A}_{\rho,T}^2)] - \int_{D_1M} \text{tr}_S [\exp((\tilde{\nabla}_{\rho}^{\text{Ch},\mathfrak{h}})^2)] \\ &+ \int_{D_1M} \text{tr}_S [\exp((\tilde{\nabla}_{\rho}^{\text{Ch},\mathfrak{h}})^2)] - \int_{D_1M} \text{tr}_S [\exp(\pi^* \nabla^{\Lambda^*}(T^*M)^2)]. \end{aligned}$$

## Step 4.

The first transgression:

$$\begin{aligned} & \int_{D_1 M} \operatorname{tr}_S \left[ \exp(\tilde{A}_{\rho, T}^2) \right] - \int_{D_1 M} \operatorname{tr}_S \left[ \exp((\tilde{\nabla}_{\rho}^{\text{Ch}, \natural})^2) \right] \\ &= \int_{SM} \int_0^1 i^* \operatorname{tr}_S \left[ Tc(Y) \exp(\nabla^{\text{Ch}} + Ttc(Y))^2 \right] dt \\ &= \sum_{k=1}^n \frac{C_{2n}^{2k}}{(2n)!} \int_{SM} \operatorname{tr}_S \left[ c(\mathbf{e}) c(\nabla^{\text{Ch}} \mathbf{e})^{2k-1} (R^{\natural})^k (P^{\natural})^{2n-2k} \right] \end{aligned}$$

## Step 5.

Set

$$\theta_\rho = \tilde{\nabla}_\rho^{\text{Ch}} - \pi^* \nabla.$$

The second transgression:

$$\begin{aligned} & \int_{D_1 M} \text{tr}_S \left[ \exp((\tilde{\nabla}_\rho^{\text{Ch}, \natural})^2) \right] - \int_{D_1 M} \text{tr}_S \left[ \exp(\pi^* \nabla^{\Lambda^*(T^* M)})^2 \right] \\ &= \int_{SM} \int_0^1 i^* \text{tr}_S \left[ \theta_\rho^\natural \exp(\nabla_t)^2 \right] dt \\ &= \int_{SM} \int_0^1 \text{tr}_S \left[ \theta^\natural \exp \left( R^{\text{Ch}, \natural} - (1-t)[\nabla^{\text{Ch}, \natural}, \theta^\natural] + (1-t)^2 \theta^\natural \wedge \theta^\natural \right) \right] dt. \end{aligned}$$

Note that



$$[\nabla^{\text{Ch}, \mathfrak{h}}, \theta^{\mathfrak{h}}] = \theta^{\mathfrak{h}} \wedge \theta^{\mathfrak{h}} - \left( \pi^* \nabla^{\wedge^*(T^*M)} \right)^2 + R^{\text{Ch}, \mathfrak{h}}.$$

- The term  $\theta^{\mathfrak{h}}$  is an  $\text{End}(\wedge^*(\pi^* T^* M))$ -valued horizontal one form, and so

$$tR^{\mathfrak{h}} + (1 - t)(\pi^* \nabla^{\wedge^*(T^*M)})^2 - t(1 - t)\theta^{\mathfrak{h}} \wedge \theta^{\mathfrak{h}}$$

is an  $\text{End}(\wedge^*(\pi^* T^* M))$ -valued horizontal two form.

$$\begin{aligned}
& \int_{D_1 M} \left( \text{tr}_S \left[ \exp((\tilde{\nabla}_\rho^{\text{Ch}, \mathfrak{h}})^2) \right] - \text{tr}_S \left[ \exp((\pi^* \nabla^{\wedge^* (T^* M)})^2) \right] \right) \\
&= \int_{SM} \int_0^1 \text{tr}_S \left[ \theta^{\mathfrak{h}} \exp \left( tP^{\mathfrak{h}} + tR^{\mathfrak{h}} + (1-t)(\pi^* \nabla^{\wedge^* (T^* M)})^2 - t(1-t)\theta^{\mathfrak{h}} \wedge \theta^{\mathfrak{h}} \right) \right] dt \\
&= \int_{SM} \int_0^1 \text{tr}_S \left[ \theta^{\mathfrak{h}} \exp \left( tP^{\mathfrak{h}} \right) \right] dt \\
&= \int_{SM} \frac{1}{(2n-1)!} \int_0^1 t^{2n-1} dt \text{tr}_S \left[ \theta^{\mathfrak{h}} (P^{\mathfrak{h}})^{2n-1} \right] \\
&= \frac{1}{(2n)!} \int_{SM} \text{tr}_S \left[ \theta^{\mathfrak{h}} (P^{\mathfrak{h}})^{2n-1} \right].
\end{aligned}$$

In the homogeneous coordinate charts  $(x^i, y^i)$  on  $SM$ , we have:

## Corollary 2 (F-Li)

Let  $(M, F)$  be a closed and oriented Finsler manifold of dimension  $2n$ . Let  $R^{\text{Ch}} = R + P$  be the curvature of the Chern connection  $\nabla^{\text{Ch}}$  on the pull-back bundle  $\pi^* TM$  over  $SM$ . Then in the induced homogeneous coordinate charts  $(x^i, y^i)$  on  $SM$ , one has

$$\chi(M) = \frac{1}{(2\pi)^{2n}(2n)!} \left\{ \sum_{k=1}^n (-1)^k C_{2n}^{2k} C_{2k-2}^{k-1} \int_{SM} \delta_{j_1 \dots j_{2n}}^{i_1 \dots i_{2n}} R_{i_1}^{j_1} \dots R_{i_k}^{j_k} \right. \\ \left. P_{i_{k+1}}^{j_{k+1}} \dots P_{i_{2n-k}}^{j_{2n-k}} \cdot \Upsilon_{i_{2n-k+1}}^{j_{2n-k+1}} \dots \Upsilon_{i_{2n-1}}^{j_{2n-1}} \Xi_{i_{2n}}^{j_{2n}} \right. \\ \left. + \int_M \int_{SM/M} \delta_{j_1 \dots j_{2n}}^{i_1 \dots i_{2n}} P_{i_1}^{j_1} \dots P_{i_{2n-1}}^{j_{2n-1}} \varpi_{i_{2n}}^{j_{2n}} \right\},$$

where  $\varpi_i^j$ ,  $R_i^j$ ,  $P_i^j$ ,  $\Upsilon_i^j$  and  $\Xi_i^j$  are defined respectively in the following:



- $(\varpi^j_i)$  is the connection matrix of the Chern connection  $\nabla^{\text{Ch}}$  with respect to natural frames on  $\pi^* TM$ ;
- $(R^j_i), (P^j_i)$  are the curvature matrices of the  $R$ -part,  $P$ -part of the curvature  $(\nabla^{\text{Ch}})^2$ , respectively;

$$(\nabla^{\text{Ch}} \mathbf{e})^i := d \left( \frac{y^i}{F} \right) + \frac{y^j}{F} \varpi^j_i, \quad (\nabla^{\text{Ch},*} \omega)_i := dF_{y_i} - F_{y^j} \varpi^j_i,$$

$$\begin{aligned} \Upsilon^j_i &:= (\nabla^{\text{Ch},*} \omega)_i (\nabla^{\text{Ch}} \mathbf{e})^j; \\ \Xi^j_i &:= \left( F_{y^j} (\nabla^{\text{Ch}} \mathbf{e})^j - \frac{y^j}{F} (\nabla^{\text{Ch},*} \omega)_i \right); \end{aligned}$$

- $\mathbf{e} = Y/F(Y)$  and  $\omega = \mathbf{e}^*$  is the Hilbert form.

## Sketch Proof of Corollary 2

- Let  $(x^i, y^i)$  be any homogeneous coordinate charts on  $SM$ .
- Set

$$\nabla^{\text{Ch}} \frac{\partial}{\partial \hat{x}^j} = \varpi_j^i \otimes \frac{\partial}{\partial \hat{x}^i}, \quad \nabla \frac{\partial}{\partial x^j} = \vartheta_j^i \otimes \frac{\partial}{\partial x^i}$$

- Set

$$R \frac{\partial}{\partial \hat{x}^j} = R_j^i \otimes \frac{\partial}{\partial \hat{x}^i}, \quad P \frac{\partial}{\partial \hat{x}^j} = P_j^i \otimes \frac{\partial}{\partial \hat{x}^i}.$$

- We have

$$\begin{aligned}\varpi^{\natural} &= -\varpi_i^j d\hat{x}^i \wedge i_{\frac{\partial}{\partial \hat{x}^j}}, & \vartheta^{\natural} &= -\vartheta_i^j d\hat{x}^i \wedge i_{\frac{\partial}{\partial \hat{x}^j}} \\ R^{\natural} &= -R_i^j d\hat{x}^i \wedge i_{\frac{\partial}{\partial \hat{x}^j}}, & P^{\natural} &= -P_i^j d\hat{x}^i \wedge i_{\frac{\partial}{\partial \hat{x}^j}}.\end{aligned}$$

In particular,

$$P_j^i = P_j^i{}_{kl} dx^k \wedge \frac{\delta y^l}{F} = -dx^k \wedge \left( \frac{\partial \Gamma_{jk}^i}{\partial y^l} \delta y^l \right).$$

- Denote that

$$c(\mathbf{e}) = \omega \wedge -i_{\mathbf{e}} = F_{y^j} d\hat{x}^j \wedge -\frac{y^j}{F} i_{\frac{\partial}{\partial x^i}},$$

where  $\omega = \mathbf{e}^* = F_{y^i} d\hat{x}^i$  is the Hilbert form on  $SM$ ;

$$(\nabla^{\text{Ch}} \mathbf{e})^i := d\left(\frac{y^i}{F}\right) + \frac{y^j}{F} \varpi_j^i, \quad (\nabla^{\text{Ch},*} \omega)_i := dF_{y^i} - F_{y^j} \varpi_j^i,$$

$$\Upsilon_i^j := (\nabla^{\text{Ch},*} \omega)_i (\nabla^{\text{Ch}} \mathbf{e})^j, \quad \Xi_i^j := \left( F_{y^i} (\nabla^{\text{Ch}} \mathbf{e})^j - \frac{y^j}{F} (\nabla^{\text{Ch},*} \omega)_i \right).$$

We have



$$\begin{aligned}
 & \text{tr}_S \left[ c(\mathbf{e})c(\nabla^{\text{Ch}}\mathbf{e})^{2k-1}(R^{\natural})^k(P^{\natural})^{2n-2k} \right] \\
 = & \text{tr}_S \left[ \left( -R_i^j d\hat{x}^i \wedge i \frac{\partial}{\partial \hat{x}^j} \right)^k \left( -P_i^j d\hat{x}^i \wedge i \frac{\partial}{\partial \hat{x}^j} \right)^{2n-2k} \right. \\
 & \left( (\nabla^{\text{Ch},*}\omega)_j d\hat{x}^j \wedge -(\nabla^{\text{Ch}}\mathbf{e})^i i \frac{\partial}{\partial \hat{x}^i} \right)^{2k-2} \\
 & \left. \left( (\nabla^{\text{Ch},*}\omega)_p d\hat{x}^p \wedge -(\nabla^{\text{Ch}}\mathbf{e})^q i \frac{\partial}{\partial \hat{x}^q} \right) \left( F_{y^l} d\hat{x}^l \wedge -\frac{y^r}{F} i \frac{\partial}{\partial \hat{x}^r} \right) \right] \\
 = & (-1)^k C_{2k-2}^{k-1} \delta_{j_1 \dots j_{2n}}^{i_1 \dots i_{2n}} R_{i_1}^{j_1} \dots R_{i_k}^{j_k} P_{i_{k+1}}^{j_{k+1}} \dots P_{i_{2n-k}}^{j_{2n-k}} \gamma_{i_{2n-k+1}}^{j_{2n-k+1}} \dots \gamma_{i_{2n-1}}^{j_{2n-1}} \equiv_{i_{2n}}^{j_{2n}}.
 \end{aligned}$$

$$\begin{aligned}
& \text{tr}_S \left[ \theta^{\natural} \left( P^{\natural} \right)^{2n-1} \right] \\
&= \text{tr}_S \left[ - \left( \varpi_i^j - \pi^* \vartheta_i^j \right) d\hat{X}^i \wedge i_{\frac{\partial}{\partial \hat{x}^j}} \left( -P_k^l d\hat{X}^k \wedge i_{\frac{\partial}{\partial \hat{x}^l}} \right)^{2n-1} \right] \\
&= \delta_{j_1 \dots j_{2n}}^{i_1 \dots i_{2n}} P_{i_1}^{j_1} \dots P_{i_{2n-1}}^{j_{2n-1}} \left( \varpi_{i_{2n}}^{j_{2n}} - \pi^* \vartheta_{i_{2n}}^{j_{2n}} \right).
\end{aligned}$$

- Note that  $P_i^j$  are vertical exact, we get

$$\int_{SM/M} P_{i_1}^{j_1} \dots P_{i_{2n-1}}^{j_{2n-1}} (\pi^* \vartheta_{i_{2n}}^{j_{2n}}) = \vartheta_{i_{2n}}^{j_{2n}} \int_{SM/M} P_{i_1}^{j_1} \dots P_{i_{2n-1}}^{j_{2n-1}} = 0.$$

- Therefore, we get

$$\begin{aligned}
 & \frac{1}{(2n)!} \int_{SM} \text{tr}_S \left[ \theta^{\natural} \left( P^{\natural} \right)^{2n-1} \right] \\
 &= \frac{1}{(2n)!} \int_M \int_{SM/M} \text{tr}_S \left[ \theta^{\natural} \left( P^{\natural} \right)^{2n-1} \right] \\
 &= \frac{1}{(2n)!} \int_M \int_{SM/M} \delta_{j_1 \dots j_{2n}}^{i_1 \dots i_{2n}} P_{i_1}^{j_1} \dots P_{i_{2n-1}}^{j_{2n-1}} \varpi_{i_{2n}}^{j_{2n}}
 \end{aligned}$$

# Berwald spaces

- The  $P$ -part of the Chern curvature vanishes for Berwald spaces.
- With respect to any orthonormal frame  $\{e_1, \dots, e_{2n}\}$  of  $\pi^* TM$  with  $e_{2n} = \mathbf{e}$ , set

$$\nabla^{\text{Ch}} e_i = \omega_i^j e_j, \quad R^{\text{Ch}} e_i = R e_i = R_i^j e_j,$$

and so

$$R^{\text{Ch}, \mathfrak{h}} = -R_i^j e^{*,i} \wedge i_{e_j} = -\frac{1}{4} R_i^j (\hat{c}(e_i) + c(e_i)) (\hat{c}(e_j) - c(e_j)).$$



### Corollary 3

Let  $(M, F)$  be a closed and oriented Berwald space. Then one has,

$$\chi(M) = \left(\frac{-1}{2\pi}\right)^n \frac{1}{\text{Vol}(S^{n-1})} \int_M \int_{SM/M} \text{Pf}(\widehat{R}^{\text{Ch}}) \omega_1^{2n} \cdots \omega_{2n-1}^{2n},$$

where  $\widehat{R}_i^j = \frac{(R_i^j - R_j^i)}{2}$  and

$$\text{Pf}(\widehat{R}^{\text{Ch}}) = \frac{1}{2^n n!} \sum_{i_1, \dots, i_{2n}=1}^{2n} \epsilon_{i_1, \dots, i_{2n}} \widehat{R}_{i_1}^{i_2} \wedge \cdots \wedge \widehat{R}_{i_{2n-1}}^{i_{2n}}.$$

# Berwald surfaces

Combining with Bao-Chern's result for closed and oriented Berwald surfaces:

$$\int_M -R_{1^2 12} \omega^1 \wedge \omega^2 = \chi(M) \text{Vol}(\text{Finsler} \mathcal{S}^1),$$

we get

## Corollary 4

*Let  $(M, F)$  be a closed and oriented Berwald surface, then*

$$\left[ \text{Vol}(\text{Finsler} \mathcal{S}^1) - 2\pi \right] \chi(M) = 0, \quad (2)$$

*that is,  $\text{Vol}(\text{Finsler} \mathcal{S}^1) = 2\pi$ , or  $\chi(M) \neq 0$ .*

In fact, by the Szabó's rigidity theorem that any Berwald surfaces must be locally Minkowskian or Riemannian, and a closed locally Minkowskian surface has zero Euler number, one also gets (2) easily.

# Thank You!